

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10555-034001	Application No. 10/061,960
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Marco Falcioni, et al.	
		Filing Date February 1, 2002	Group Art Unit 1741 1631
(37 CFR §1.98(b))			

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
LAC	AA	5,614,608	3/25/97	Krchnak et al.	530	334	
	AB	5,759,779	6/2/98	Dehlinger	435	436	
	AC	5,763,263	6/9/98	Dehlinger	435	287	
	AD	5,856,101	1/5/99	Hubbell et al.	435	6	
	AE	5,974,164	10/26/99	Chee	382	129	
V	AF	6,044,212	Mar. 28, 2000	Flavin et al.			
LAC	AG	6,175,816	Jan. 16, 2001	Flavin et al.			

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
LAC	AH	EP 0 796 654 A2	9/24/1997	EPO				
LAC	AI	WO 98/15825	4/16/98	PCT				
LAC	AJ	WO 99/59722	11/25/99	PCT	B01L 3/00			

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AK	Advanced Chem. Tech. "Model 496 MOS Multiple Organic Synthesizer", product information, 6 pgs.
LAC	AL	Advanced Chem. Tech. "Model 348 MPS Multiple Peptide Synthesizer", product information, 4 pgs, 1997.
	AM	Advanced Chem. Tech. "The ACT Model 90 Tabletop Peptide synthesizer", product information, 1 pg.
	AN	Afferent Systems, Inc. "Afferent Products" <a href="http://www.afferent.com/products/html">www.afferent.com/products/html</a> , product information, 2 pgs.
	AO	Afferent Systems, Inc. "Afferent Defines Libraries In Terms of Precursors and Reactions", product information, 3 pgs. <a href="http://www.afferent.com/libraries.html">www.afferent.com/libraries.html</a>
	AP	Afferent Systems, Inc. "Afferent Uses Virtual Chemistry to Generate Combinatorial Products", 6 pgs., <a href="http://www.afferent.com/generation.html">www.afferent.com/generation.html</a>
	AQ	Afferent Systems, Inc. "The Generic Structure Approach", 3 pgs. <a href="http://www.afferent.com/genric-structure.html">www.afferent.com/genric-structure.html</a>
	AR	Afferent Systems, Inc. "Talk Chemistry, Not Robot Language, To Your Synthesis System", 8 pgs. <a href="http://www.afferent.com/control.html">www.afferent.com/control.html</a>

Examiner Signature <i>Loe A. Claw</i>	Date Considered 8/6/06
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(37 CFR §1.98(b))

**Other Documents (Include Author, Title, Date, and Place of Publication)**

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LAC	AS	Afferent Systems, Inc., "Afferent Analytical™", pages 1 of 1, <a href="http://www.afferent.com/analytical.html">http://www.afferent.com/analytical.html</a> , Copyright© 1996-1999, Last updated 1/28/1999
LA	AT	Afferent Systems, Inc., "What's new?, IRORI and Afferent enter into Combinatorial Chemistry Collaboration Agreement," pages 1 of 2, <a href="http://www.afferent.com/news.html">http://www.afferent.com/news.html</a> , Copyright© 1996-1999, Last updated 7/3/1999
	AU	Asymtek "M-MCM"
	AV	Asymtek "M-ENCAP", product information, 1 pg.
	AW	Asymtek "M-FCOB", product information, 2 pgs.
	AX	Automated Organic Synthesis - No Compromises, 2 pgs.
	AY	Biomek 2000 Laboratory Automation Workstation, product information, 2 pgs.
	AZ	Bohdan Automation "Solid Phase Extraction Workstation by vacuum"
	AAA	Carl Creative Systems "Plate Trak Automated Liquid Handling System", product information, 1 pg.
LAC	ABB	Cargill et al., "Automated Combinatorial Chemistry on Solid Phase", L.R.A., 1996, vol. 8, 139-148.
LAC	ACC	Cawse, "Experimental Strategies for Combinatorial and High-Throughput Materials Development", Accounts of Chemical Research, 2001, vol. 34, no. 3, pp. 213-221
	ADD	Chemical Computing Group Inc. "MOE: The Molecular Operating Environment", product information, 4 pgs., <a href="http://www.chemcomp.com/fdept/prodinfo.htm">www.chemcomp.com/fdept/prodinfo.htm</a>
LA	AEE	Corkan et al., "Application of an Automated Chemistry Workstation to Problems in Synthetic Chemistry", Chemometrics and Intelligent Laboratory Systems: Lab. Info. Mgmt., 1992, vol. 17, pp. 95-105.
	AFF	Corkan et al., "Design Concepts for Synthetic Chemistry Workstations", Advances in Laboratory Automation Robotics, 1990, vol. 6, pp. 447-497.
	AGG	Corkan et al., "Experiment Manager Software for an Automated Chemistry Workstation, Including a Scheduler for Parallel Experimentation", Chemometrics and Intelligent Laboratory Systems: Lab. Info. Mgmt., 1992, vol. 17, pp. 47-47.
LAC	AHH	Deem, "A Statistical Mechanical Approach to Combinatorial Chemistry", Advances in Chemical Engineering 28, Academic Press, 2001, pp. 81-121
	AII	Digital Mechanical Pipette CALIBRA 852 and DIGISCAN, product information, 1 pg.
	AJJ	EG&G Wallac MicroBeta "TriLux Scintillation and Luminescence Counter"
LAC	AKK	Falcioni, et al., "Library Design in Combinatorial Chemistry by Monte Carlo Methods", Phys. Rev. E 61, 2000, pp. 5948-5952
LAC	ALL	Foder, et al., "Light-directed, spatially addressable parallel chemical synthesis", Science, (1991) Vol. 251, pp. 767-773
	AMM	Gilson "Better Solutions from Gilson mean...", product information, 1 pg.
LAC	ANN	Houghten, "Parallel array and mixture-based synthetic combinatorial chemistry: tools for the next millennium", Annu. Rev. Pharmacol. Toxicol. (2000) Vol. 40, pp. 273-82

Examiner Signature <i>David A. Claw</i>	Date Considered 8/6/06
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**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	<del>AOO</del>	Hydra "Pooling Samples, Integrating the Hydra, Dispensing Precision",
	<del>APP</del>	HyPrep "ThePrep Plus System", product information, 1 pg.
Ulc	AQQ	Koinuma, et al., "Parallel fabrication of artificially designed superlattices by combinatorial laser MBE", Appl. Phys. A 69 Supp., (1999) S29-S31
	<del>ARR</del>	Labute, Chemical Computing Group Inc. "MOE: Deployment Strategies", product information, 6 pgs., <a href="http://www.chemcomp.com/feature/deploy.htm">www.chemcomp.com/feature/deploy.htm</a>
Ulc	ASS	Lindsey, "Automated Workstations for Chemical Synthesis in Japan: A New Paradigm for Pharmaceutical Research", Am. Lab., 1993, pp. 17, 18, 20.
Ulc	ATT	Lindsey, "A Retrospective on the Automation of Laboratory Synthetic Chemistry", Chemometrics and Intelligent Laboratory Systems: Lab. Inf. Mgmt., 1992, vol. 17, pp. 15-45.
Ulc	AUU	Lindsey, et al., "Toward High-Performance Parallel Experimentation Machines: Use of a Scheduler as a Quantitative Computer-Aided Design Tool for Evaluating Workstation Performance", Chemometrics and Intelligent Laboratory Systems: Lab. Info. Mgmt., 1993, vol. 21, pp. 139-150.
	<del>AVV</del>	Packard "Packard... The complete solution", product information, 1 pg.
	<del>AWW</del>	Packard "MultiPROBE Robotic Liquid Handling Systems" product information, 2 pgs.
	<del>AXX</del>	Titertek "Quadflex", product information, 2 pgs.
Ulc	AYY	Thayer, A. M., "Bioinformatics for the Masses", Business, 2000, vol. 78, no. 6, CENEAR 78, pp. 19-32
	<del>AZZ</del>	The Technology Partnership, "Myriad Personal Synthesis; A New Approach to Synthesis", product information, 7 pgs.
Ulc	AAAA	Yan, et al. "Determination of the absolute amount of resin-bound hydroxyl or carboxyl groups for the optimization of solid-phase combinatorial and parallel organic synthesis", Anal. Chem. (1999) vol. 71, pp. 4564-4571
	<del>ABBB</del>	Zinsser Analytic "LISSY Pipetting with Windows", product information, 1 pg.

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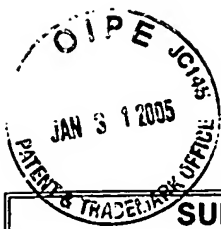
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Examiner Signature <i>Lawrence A. Claw</i>	Date Considered 8/6/06
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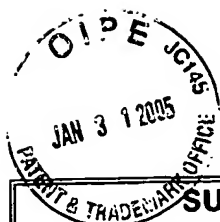
<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION</b>			ATTORNEY'S DOCKET NO.: 2002-004		APPLICATION NO.: 10/061,960	
PTO-1449			APPLICANT: Falcioni et al.			
			FILING DATE: February 1, 2002		GROUP: <del>1741</del> 1631	CONFIRMATION: 8759
<b>US PATENT DOCUMENTS</b>						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
LAC	4,422,151	12/20/83	Gilson	364	496	6/1/81
	4,478,094	10/23/84	Salomaa et al.	73	863	5/5/83
	5,143,854	9/1/92	Pirrung et al.	436	518	3/7/90
	5,437,838	8/1/95	DeMoranville et al.	422	67	6/16/94
	5,463,564	10/31/95	Agrafiotis et al.	364	496	9/16/94
	5,571,639	11/5/96	Hubbell et al.	430	5	5/24/94
	5,574,656	11/12/96	Agrafiotis et al.	364	500	9/28/95
	5,614,608	3/25/97	Krchnak et al.	530	334	
	5,714,127	2/3/98	DeWitt et al.	422	131	6/7/95
	5,856,101	1/5/99	Hubbell et al.	435	6	9/27/96
	5,974,164	10/26/99	Chee	382	129	10/16/95
	6,004,617	12/21/99	Schultz et al.	427	8	6/7/95
	6,045,755	4/4/00	Lebl et al.	422	65	3/10/97
	6,063,339	5/16/00	Tisone et al.	422	67	9/3/98
	6,411,945	6/25/2002	Nakajima	706	19	
	6,507,945	1/14/03	Rust et al.	717	103	5/5/99
	6,618,852	9/9/03	Van Eikeren et al.	717	108	9/13/99
LAC	6,658,429	12/02/03	Dorsett, Jr.	707	104	1/5/01
EXAMINER: <i>Lois A. Claus</i>			DATE CONSIDERED: <i>8/6/06</i>			

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<b>FOREIGN DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						Yes	No
Lte	DE29720432	5/6/99	Germany	C12M	1/34	Yes	
	EP 0 796 654	9/24/97	EPO	B01J	19/00		No
	JP10-055348	2/24/98	Japan	G06F	15/18	Yes	
	WO 00/23921	4/27/00	PCT	G06F	17/50		No
	WO 01/79949	10/25/01	PCT	G05B	23/00		No
	WO 87/06008	10/8/87	PCT	G01N	35/00		No
	WO 96/11878	4/25/96	PCT	C01G	51/04		No
	WO 96/22157	7/25/96	PCT	B01J	8/02		No
	WO 97/31127	8/28/97	PCT	C12Q	1/68		No
	WO 98/07026	2/19/98	PCT	G01N	31/10		No
	WO 98/14641	4/9/98	PCT	C25D	5/02		No
↓	WO 98/15825	4/16/98	PCT	G01N	33/00		No
Lte	AU 199743796	3/6/98	Australia	G01N	31/10		No
EXAMINER: <i>Luci A. Chow</i>				DATE CONSIDERED: <i>8/6/06</i>			

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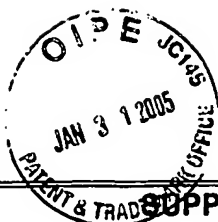
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<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)</b>					
LAC	Advanced Chem. Tech. "Model 348 MPS Multiple Peptide Synthesizer," product information, 1997, 4 pgs.				
	<del>Advanced Chem. Tech. "Model 496 MOS Multiple Organic Synthesizer," product information, 6 pgs.</del>				
	<del>Advanced Chem. Tech. "The ACT Model 90 Tabletop Peptide Synthesizer," product information, 2 pgs.</del>				
LAC	Afferent Systems, Inc. "Afferent Defines Libraries In Terms of Precursors and Reaction," product information, 1998, 3 pgs., <a href="http://www.afferent.com/libraries.html">www.afferent.com/libraries.html</a>				
	Afferent Systems, Inc. "Afferent Products," product information, 1998, 1 pg., <a href="http://www.afferent.com/products.html">www.afferent.com/products.html</a>				
	Afferent Systems, Inc., "Afferent Uses Virtual Chemistry to Generate Combinatorial Products," 1998, 6 pgs., <a href="http://www.afferent.com/generation.html">www.afferent.com/generation.html</a>				
	Afferent Systems, Inc., "Talk Chemistry, Not Robot Language , To Your Synthesis System," 1998, 8 pgs., <a href="http://www.afferent.com/control.html">www.afferent.com/control.html</a>				
✓	Afferent Systems, Inc., "The Generic Structure Approach," 1998, 3 pgs., <a href="http://www.afferent.com/generic-structure.html">www.afferent.com/generic-structure.html</a>				
LAC	Afferent Systems, Inc., "What's New?, IRORI and Afferent enter into Combinatorial Chemistry Collaboration Agreement," pages 1 of 2, <a href="http://www.afferent.com/news.html">http://www.afferent.com/news.html</a> , Copyright© 1996-1999, Last updated 7/3/1999				
	Asymtek "M-ENGAP," product information, 1 pg.				
	Asymtek "M-ECOB," product information 1 pg.				
	Asymtek "M-MCM"				
LAC	Baems et al., "Chemische Reaktionstechnik," 1987, Georg Thieme Verlag, Stuttgart and New York, pp. 226-236 (with English translation)				
	✓ Briceño et al., "A Class of Cobalt Oxide Magnetoresistance Materials Discovered with Combinatorial Synthesis," 13 October 1995, Science, Vol. 270, pp. 273-275				
	Cargill, J.F., et al., <i>Lab. Rob. Autom.</i> 1996, 8, 139-148				
	Corkan, A., et al., "Application of an Automated Chemistry Workstation to Problems in Synthetic Chemistry," <i>Chemom. Intell. Lab. Syst.</i> 1992, 17, 95-105				
	Corkan, A., et al., "Design Concepts for Synthetic Chemistry Workstations," <i>Adv. Lab. Autom. Rob.</i> 1990, 6, 477-497				
	Corkan, et al., "Experiment Manager Software for an Automated Chemistry Workstation, including a Scheduler for Parallel Experimentation," <i>Chemometrics and Intelligent Laboratory Systems: Laboratory Information Management</i> , 17 (1992) October, No. 1, 47-74, Elsevier Science Publishers B.V., Amsterdam				
LAC	✓ Danielson et al., "A Combinatorial Approach to the Discovery and Optimization of Luminescent Materials," 30 October 1997, Nature, Vol. 389, No. 30, pp. 944-948				
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<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)</b>					
LAC	Eclipse 4000 User Software for the MICROLAB® 4000 Series Version 1.1 User's Manual, Hamilton Company 1998				
	<del>Gilson "Better Solutions From Gilson Mean..." product information, 1 pg.</del>				
LAC	Dimitrie Grigoriadis et al., APHIL, "A Relational System For Managing High-Throughput Screening Data," 1997				
LAC	Hanak, "The Multiple-Sample Concept" in Materials Research: Synthesis, Compositional Analysis and Testing of Entire Multicomponent Systems," © 1970 Chapman and Hall Ltd., pp. 964-971				
	Hsieh-Wilson et al., "Lessons From the Immune System: From Catalysis to Materials Science," © 1996 American Chemical Society, Vol. 29, pp. 164-170				
	Jandeleit et al., "Combinatorial Methods in Catalysis," December 1998, Baltzer Science Publishers, Vol. 2, No. 2, pp. 101-123				
	Jandeleit et al., <i>Angew. Chem.</i> 1999, 38, 2494-2532				
	Kagaku, "Combinatorial Chemistry: Inconceivable without Computers", <i>Combinatorial Chemistry</i> , Vol. 51, No. 8, pp. 480-483 & 583 (1996). <b>Original Japanese reference and English translation included.</b>				
	Klein, "Statistische Versuchsplanung," 1995, Nachr. Chem. Tech. Lab. Vol. 43, pp. 1078, 1080-1082 English translation included				
	Labute, Chemical Computing Group Inc., "MOE: The Molecular Operating Environment. CCG Product Information, product information 1998 4 pgs., <a href="http://www.chemcomp.com/fdept/prodinfo.htm">www.chemcomp.com/fdept/prodinfo.htm</a>				
	Labute, Chemical Computing Group Inc., "MOE: Deployment Strategies," product information 1998 6 pgs., <a href="http://www.chemcomp.com/feature/deploy.htm">www.chemcomp.com/feature/deploy.htm</a>				
	Lindsey and Corkan, "Toward High-Performance Parallel Experimentation Machines: Use of a Scheduler a Quantitative Computer-Aided Design Tool for Evaluating Workstation Performance," <i>Chemometrics and Intelligent Laboratory Systems: Lab. Info. Mgmt.</i> , 1993, vol. 21, pp. 139-150				
	Lindsey, "Automated Workstations for Chemical Synthesis in Japan: A New Paradigm for Pharmaceutical Research," <i>Am. Lb.</i> , March 1993, pp. 17, 18, 20				
	Lindsey, J.S., "A Retrospective on the Automation of Laboratory Synthetic Chemistry," <i>Chemom. Intell. Lab. Syst.</i> 1992, 17, 15-45				
	McFarland and Weinberg, "Approaches for Rapid Materials Discovery Using Combinatorial Methods," 1998, <i>Mat. Tech.</i> , Vol. 13.3, pp. 107-120				
	MDL Introducing MDL Screen (1995) <a href="http://www.netsci.org/Science/Screening/feature03.html">www.netsci.org/Science/Screening/feature03.html</a>				
✓	MDL Screen™ User's Guide, "MDL's Solution for High-Throughput Screening Data Management," © Copyright 1996 by MDL Information Systems, Inc., pp. 1-2 to 14-6				
LAC	MDL Information Systems, Inc., MDL Screen 1.3 Closes Final Gap in HTS Workflow, pp. 1-8 1998				
	<del>MDL, Using MDL Screening, Managing High-Throughput Screen Data</del>				
LAC	Microlab AT plus 2, Sunrise Plus Version 3.3 Software Instructions, Hamilton Bonaduz AG, 1996				
	MICROLAB® 4000 Robotic Workstations, Hamilton Company 1999, Users Manual Version 1.1				
	MODDE 4.0, "Graphical Software for Design of Experiments," © 1992-1997 Umetri AB, pp. 1-1 to 14-2				
	Molecular Connection, "MDL's Newsmagazine for Communicating with Customers," July 1998, Vol. 17, No. 3, pp. 2-23				
	MultiPROBE® Automated Liquid Handling System, Operation Manual, Packard Instrument Company, 1996				
	MultiPROBE® II Automated Liquid Handling System, Operation Manual, Packard Instrument Company, Inc. 1998				
✓	MultiPROBE® II Automated Liquid Handling System, Operation Manual, Packard Instrument Company, 1999				
LAC	MultiPROBE® II Automated Liquid Handling Systems, Specifications, Packard Instrument Company, 1998				
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<del>Peckard "MultiPROBE Robotic Liquid Handling Systems" product information, 2 pgs.</del>					
LAE PAL Cycle Composer User Manual, CTC Analytics AG, September 1998					
Plouvier et al., "Experiment Planner for Strategic Experimentation with an Automated Chemistry Workstation," Chemometrics and Intelligent Laboratory Systems: Lab. Info. Mgmt., 1992, vol. 17, pp. 75-94					
Robert F. Service, "High-Speed Materials Design," 25 July 1997, Science, Vol. 277, pp. 474-475					
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Schultz and Xiang, "Combinatorial Approaches to Materials Science," 1998, © Current Chemistry ISSN 1359-0286, pp. 153-158					
Statistica Vol. IV: Industrial Statistics, Copyright © StatSoft, 1995, pp. 4177-4473					
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Sun, Xiao-Dong, "Solution-Phase Synthesis of Luminescent Materials Libraries," 1997, Advanced Materials, Vol. 9, pp. 1046-1049					
V LAE TECAN Facts Software Manual, Tecan AG, V1.0, November 1998					
LAE TECAN Gemini Software Manual, Tecan AG, V2.0, August 1998					
<del>The Technology Partnership, "Myriad Personal Synthesis: A New Approach to Synthesis," product information, 7 pgs.</del>					
LAE V Xiang et al., "A Combinatorial Approach to Materials Discovery," 23 June 1995, Science, Vol. 268, pp. 1738-1740					
LAE Yamagata et al., "Constructing an Assay System with HTS", IV High Throughput Screening, pp. 179-191 (1997). Original Japanese reference and English translation included.					
<del>Zinsser Analytic "LISSY Pipetting with Windows," product information, 1 pg.</del>					
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